

NEVADA DEPARTMENT OF CORRECTIONS ADMINISTRATIVE REGULATION

<u>INMATEOFFENDER</u> DISCIPLINARY PROCESS ADMINISTRATIVE REGULATION – 707

SUPERSEDES: AR 707 (Temporary 11/23/09); AR 707 (02/12/10); AR 707 (Temporary

02/13/17); AR 707 (Temporary 04/25/17); AR 707 (05/16/17)

EFFECTIVE DATE: PENDING

AUTHORITY: NRS 209.131; NRS 209.246; NRS 209.356; NRS 209.361; NRS 209.246

RESPONSIBILITY

The Director of the Nevada Department of Corrections is responsible, through the respective Deputy Directors, for the implementation of the offender disciplinary process.

The Warden is responsible for ensuring compliance <u>and the overall management</u> with the offender disciplinary process <u>and the overall management of the offender disciplinary process</u>, at their respective institution or facility, through the institution's or facility's Operational Procedures.

An The Associate Warden(s), under the direction of the Warden, is are responsible for the compliance of the offender disciplinary process by their subordinate with the institution staff.

All staff and offenders are responsible to have knowledge of and comply with the offender disciplinary regulations and procedures.

PURPOSE

To ensure Nevada Department of Corrections (NDOC) has established rules of conduct, as well as, sanctions and procedures, for addressing violations defined in writing and communicated to all staff offenders and staffoffenders.

707.01 DEPARTMENT POLICY

1. All offenders committed (including safe Safe kKeepers) to the Department shall be subject to disciplinary action for violations of the Department's rules and regulations.

- 2. Disciplinary action should be taken as soon <u>as is practical after following</u> the misconduct as is practical.
- 3. Discipline shall be applied in an impartial and consistent manner.
- 4. Corporal punishment or inhumane treatment is prohibited.
- 5. Disciplinary proceedings are an administrative process, unrelated to and not bound by the rules for criminal procedures, civil trials, administrative codes or procedures.
- 6. Offender(s)s shall be informed that they can file an appeal on any portion of their discipline related to due-process and/or sanctions, imposed as a result of the hearing, through the grievance procedure; any portion of their discipline as it relates to due-process or sanctions imposed as a result of the hearing, unless they agree to a bargained plea or enter a guilty plea, has been rendered.
- 7. Offender(s)s sanctioned to disciplinary segregation, shall be advised that they can petition the warden Warden for a release from segregation, by the utilization of the Offender Request Form (DOC 3012), also referred to as a kite; prior to the completion of the imposed sanction, as a result of demonstrated good conduct, after serving one-half of their imposed sanction. Bargained pleas are exempt from early release.
- 8. Upon commitment to the Department, all offenders shall be issued, and required to sign for a copy of the Offender Disciplinary Manual and AR 707 Offender Disciplinary Process.
 - A. Signed acknowledgments will be maintained in the offender's I-file.
 - B. When a literacy <u>and/</u>or language problem prevents an offender from understanding the manual, a staff member <u>and/</u>or translator, will assist the offender in understanding the rules.
- 9. The manual will be available to all offenders. Availability is satisfied if a A copy is kept in the institutional law library and/or in the living housing units for those facilities without a law library.
- 10. <u>During intake</u>, <u>Aall offenders are assumed to have notice of this manual provided a copy of the offender disciplinary process</u>.
- 11. The offender disciplinary process includes the following procedures:
 - A. A Notice of Charges Form (DOC 3017) with A. Aall charges supported by "some evidence." will be assigned to the Notice of Charges form DOC 3017.

Only one charge per incident will be assigned to the offender on the Notice of Charges form NDOC 3017.

B. B. The findings of the hearing shall not be arbitrary or capricious; and the findings can be supported by the hearing officer's review of the evidence.

Only the most serious Code violation that shall be supported by "some evidence" <u>shallwill</u> be <u>sanctioned charged</u> on the NDOC 3017. The finding of the hearing shall not be arbitrary or capricious and the finding can be supported by the hearing officer's review of the evidence.

C. C. Applicable Additional Penalties or Enhancement charges supported by "some evidence," may also be sanctioned, in addition to a Major Violation.

Imposition of said sanctions are contingent upon the finding of the prescribed fact. Limits on imposed Disciplinary Segregation time shall not be infringed. No additional charges will be added. Charges will not be stacked—defined as more than one charge per incident.

- D. If at any stage during the hearing process, whether at the Preliminary Hearing, or at the Hearing Officer stage, or at a Full Disciplinary Committee, it is known or suspected that the offender's mental health or medical condition was a substantial cause of the misconduct and/or that the offender is assigned to the Mental Health program or has been already diagnosed as with Seriously Mentally Illness (SMI), the offender shall be referred for a psychological evaluation prior to the disciplinary hearing.
- E. The psychological evaluation shall state whether the misconduct was a result of the offender's mental health and/or whether the-mental illness contributed to the misconduct. This evaluation, and it shall-will be included in the written documentation that shall-be considered-for consideration by the Hearing Officer or Committee, when considering determining the sanctions to be imposed pursuant to Offender Disciplinary Sanctions in 707.22.
- F. If during the psychological evaluation, the staff conducting the evaluation suspects that the misconduct may have been as a result of a medical condition, such as, but not limited to; Dementia, Alzheimer's, and/Post-Traumatic Stress Disorder (PTSD), or any other condition such as a traumatic Traumatic brain Brain injury Injury (TBI), the staff person shall refer the offender to Medical for their review and recommendation.
- G. If it is determined that the offender's misconduct was a result of <u>their</u> his/her mental health status or that the offender is assigned to the Mental

- Health program, the offender shall be given reasonable and appropriate accommodations in preparation for the hearing and the offender may be provided with assistance during the hearing.
- H. If an offender is diagnosed as with Seriously Mental Illness (SMI), or has a medical condition, as determined by a mental health and/or medical professionalstaff, that may have affected the offender's behavior, which resulted in the Notice of Charges, the Hearing Officer or Disciplinary Committee may mitigate the sanction pursuant to Offender Disciplinary Sanctions in 707.22.
- I. The offender's misconduct shall be documented and the finding may include a finding of guilt. However, the sanction for an offender who is diagnosed as SMI or has a medical condition that directly affects the offender's conduct shall not include Disciplinary Segregation or Disciplinary Detention.
- J. If an offender's mental health or medical condition is of such a nature that the offender is a threat to self or others or is disruptive to the orderly operation of the facility or institution, the offender may be placed in aAdministrative sSegregation. The placement in administrative segregation shall not be considered part of the disciplinary action taken. The placement in administrative Administrative sSegregation shall be temporary until more suitable placement is identified or the condition is treated.
- K. If, after the sanction has been mitigated, it is determined that the offender was not SMI or that there were no medical conditions that should have affected the behavior and the outcome of the hearing, the information relative to the offender's manipulation shall be documented and the documentation shall be used to process disciplinary action against the offender.
- L. The Preliminary Hearing Officer has the authority to "amend" a charge, as appropriate, but and cannot add any additional charges.
- M. A Hearing Officer may reduce the severity of sanction for a violation, if the offender pleads guilty to the charge. Offenders cannot appeal a guilty plea.
- N. A Hearing Officer may reduce the actual sanction for an offender in a transitional center. This would include, but not limited to, tobacco or other violations that do not include new charges of violations of existing statutes.

- O. The designated Preliminary Hearing Officer shall serve notice within 15 calendar days of the date of discovery of the violation; (or)
- P. Within 15 calendar days of the completion of investigative work concerning the incident; (or)
- Q. In the case of an escapee, within 15 calendar days after the escapee's return to NDOC custody.
- R. If the offender chooses not to not waive the NDOC's disciplinary hearing process, a hearing shall be conducted and the offender's decision not to waive the hearing shall be documented on the disciplinary hearing documents, which for the offender will-'s signature.
- S. At least 24 hours prior to any formal hearing before an impartial Disciplinary Hearing Officer, the Notice of Charges shall be served to the offender. An offender shall have an opportunity to call witnesses with substantive knowledge of the issues. The witnesses may be present during the hearing at the discretion of the Hearing Officer, and may provide documentary evidence germane to the Notice of Charges.
- T. An offender shall be provided with a written statement by the Disciplinary Hearing Officer as to the evidence relied on, provided that to do so would not jeopardize institutional safety or security or safety of others.
- U. A finding of guilt shall be based on "some" evidence. The finding will not be arbitrary or capricious and the finding can be supported by the hearing officer's review of the evidence.
- 12. Reliance on any published standard, the use of mandatory language, if such exists, or the creation or of procedures related to the conduct of the disciplinary process, including, but not limited to timeframes, witnesses or appeals is solely for the purpose of providing guidance for employees and shall be considered representative of the manner in which the Department has chosen to exercise the Department's discretion in such matters. The failure of any employee of the Department to follow any procedure shall not result in any mandatory outcome (e.g., dismissal of charges), but and shall be one of many factors to be considered in exercising discretion as to the outcome of any violation. A rehearing may be ordered by the Warden, if warranted.
- 13. Any disciplinary case may be continued so that to allow the Preliminary Hearing Officer or the Disciplinary Hearing Officer, may to obtain guidance from the Office of the Attorney General, concerning any matter in the offender disciplinary process.
 - A. Offender(s)s do not have any right or privilege to request or participate in obtaining guidance from the Office of the Attorney General's Office.

- B. The Department may seek guidance in writing and/or verbally.
- C. The Department's request(s) for guidance shall be made only be made if there is confusion as to the application of the guidelines set forth in this Code.
- D. The Office of the Attorney General shall not be asked to render any opinion as to the guilt or innocence of an offender facing disciplinary charges.

707.02 DISCIPLINARY OFFENSES

(Note: Infractions removed from regulation will not lead to the renumbering of charges.)

- 1. All offenses listed below in section 707.02.3-4 through 76, shall also include an attempt or conspiracy to commit that violation.
- 2. Work Release violations may only be charged if the offender has minimum or community trustee trusty status.
- 3. Additional Penalties or Enhancement: This section does not create a separate offense but provides an additional penalty for the primary offense, whose imposition is contingent upon the finding of the prescribed fact.
- 3.4. Minor Infractions (All Class E Violations):
 - M1_- Purchasing, selling, trading, giving, receiving or possessing any item of property, with a value less than \$50, in a manner other than that which is authorized by Administrative Regulation 711.
 - M3 Possession of unauthorized items with a combined value of less than \$25.00.
 - M4 —Roughhouse or horseplay.
 - M5 Failure to keep one's person or assigned area neat and clean.
 - M6 Failure to perform work as instructed or a failure to attend work, school or other assignment.
 - M7 Unauthorized use of institutional supplies, tools, equipment or machinery.
 - M10 Failure to produce offender identification card upon request of correctional employee.

4.5. General Violations (All Class D Infractions):

- G1 Disobedience of an order from any correctional employee or anyone who has the authority to supervise offenders in work or other special assignments.
- G2 Unauthorized contact with any on- or off-duty correctional employee or member of the correctional employee's family; or any unwanted contact with any private citizen, not amounting to harassment or threats.
- G3 Organizing, participating in, operating any gambling game or betting pool, or possessing any equipment used for gambling or betting purposes.
- G4 Intentionally destroying, altering or damaging property of another or state property which has a combined replacement value of less than \$50.00.
- G5 Self mutilation.
- G6 Fighting or challenging another to fight.
- G7 Issuing a brass slip with knowledge that it is not covered by sufficient funds.
- G8 Possession of another offender's identification card.
- G9 Abusive language or actions toward another person.
- G10 Tampering with evidence or influencing a witness involved in any disciplinary process, not amounting to threats.
- G12 Failure to appear at the proper time and place for count or interfering with the count.
- G13 Cutting into line.
- G14 Failure to follow any Department's rules and regulations.
- G15 Presence in areas identified as off limits to offenders by posted regulations or signs that identify areas that are restricted, not amounting to an attempted escape.
- G18 Delaying, hindering or interfering with a correctional employee in the performance of their duties.

- G20 Preparing, soliciting, or giving false or misleading information to or about a staff member and representing the statement as fact.
 NOTE: G20 cannot be plea-bargained or sanction bargained.
- G21 Possession of gang materials including, but not limited to, jewelry, stationary, emblems and patches.
- G24 Possession of prescribed medication that is not a controlled substance without the approval of the proper authority.
- G25 Purchasing, selling, trading, giving, receiving or possessing any item of property, with a combined value equal to or greater than \$50.00, in a manner other than that which is authorized by Administrative Regulation 711.
- G27 Abuse of offender grievance process.
 <u>NOTE</u>: 1) This notice of violation may be charged by the Warden,
 Director or any Deputy Director and, 2) G27 <u>Cannot cannot</u> be pleabargained or sanction bargained.

<u>5.6.</u> Major Violations:

- MJ1 Arson: Setting a fire with the potential of causing damage or injury to persons or property. (Class A)
- MJ2 Assault: <u>unlawful Unlawful</u> attempt coupled with present ability to commit a violent injury on the person of another. (Class A)
- MJ3 Battery: aAny willful use of force or violence upon the person of another. (Class A)
- MJ4 Burglary: The entering of a building, structure or vehicle with the intent to commit crime therein. (Class B)
- MJ5 Embezzlement: The fraudulent conversion of the property of another by one who is already in lawful possession of it. (Class B)
- MJ6 Escape: The departure or absence from custody of a person who is imprisoned, before he is entitled to his liberty by the process of law. This violation shall be charged in cases of walk-a-ways from assignments of minimum or community custody where no weapons, force or injury to others was involved. (Class B)
- MJ7 Extortion: The obtaining of property or money from another by wrongful use of actual or threatened force, violence or fear. (Class A)

- MJ8 False Imprisonment: The unlawful violation of the personal liberty of another, which consists of confinement or detention without sufficient legal authority. (Class A)
- MJ9 False Pretenses: A false representation of a material present or past fact, which causes the victim to pass title to his property to the wrongdoer who knows his representation to be false and intends thereby to defraud the victim. (Class B)
- MJ10 Security Threat Group (STG) Activities: A validated Security Threat Group member who has engaged or is engaging in criminal activities, threatens the order and security of the institution and/or promotes racism. (Class A).
 - <u>NOTE:</u> 1) Only an Associate Warden or above may charge the offender with a MJ10 violation and, 2) MJ10 Cannot be plea-bargained or sanction bargained.
- MJ11 Kidnapping: The unlawful taking, holding and carrying away of a human being by force or against his will. (Class A)
- MJ12 Larceny: The trespasser taking and carrying away of personal property of another with intent to steal it. (Class C)
- MJ13 Larceny by Trick: Obtaining possession of another's property by falsehood with the intent to convert it for his own use. (Class C)
- MJ14 Manslaughter: The unlawful killing of another human being without malice either expressed or implied. It may be either voluntarily, in the heat of passion, or involuntarily. (Class A)
- MJ15 Mayhem: The infliction of an injury, which disfigures, disables, or dismembers another. (Class A)
- MJ16 Murder: The unlawful killing of another human being with malice aforethought, either expressed or implied, and all lesser included offenses. (Class A)
- MJ17 Receiving Stolen Property: One must receive stolen property, know it is stolen, and intend to deprive the owner of it. (Class C)
- MJ18 Robbery: A larceny where the taking of the property must be from the person of the victim or in his presence and the taking must be by means of violence or intimidation. (Class A)
- MJ19 Sexual Assault/Sexual Abuse: Subjecting another person to any sexual act or sexual abuse, if the victim does not consent, is coerced into

such act by over or implied threats of violence, is unable to consent or refuse; is against their will and/or understanding. Sexual Assault/Sexual Abuse includes any other intentional touching, either directly or through the clothing. (Class A)

- MJ21 Theft: the The taking of property without the owner's consent. (Class C)
- MJ22 Tampering with any locking device. (Class B)
- MJ23 Intentionally destroying, altering or damaging the property of another or state property with a combined replacement value equal to or greater than \$50. (Class C)
- MJ24 Adulteration of any food or drink. (Class A)
- MJ25 Threats: <u>issuing Issuing</u> a threat, either verbally, by gesture or in a written statement to or about any person. (Class B)
- MJ26 Possession of contraband, including items that present a threat to safety and security of the institutions, excluding drugs or drug paraphernalia. (Class A)
- MJ27 Rioting or inciting others to riot. (Class A)
- MJ28 Organizing, encouraging or participating in a work stoppage or other disruptive demonstration or practice. (Class B)
- MJ29 Charging or collecting a fee or favors for services as a counselsubstitute, legal assistant or "writ writer." (Class C)
- MJ30 Sexually stimulating activities, including but not limited to caressing, kissing or fondling, clothed or unclothed, between consensual sexual and/or amorous relationships between offenders. (Class A)
- MJ31 The unauthorized or inappropriate use of telephone, mail, computer, state equipment, or supplies. (Class A)
- MJ32 Being in an unauthorized area, or hiding on the prison grounds or hiding at a place of assignment or classification. (Class B)
- MJ33 Bribery: Giving or offering a bribe to any person. (Class B)
- MJ34 Trading, bartering, lending or otherwise engaging in any personal transactions when such transaction has not been specifically authorized. (Class C)

- MJ35 Counterfeiting, forging or making an unauthorized reproduction of any document. (Class B)
- MJ39 Running from a correctional employee when ordered to halt. (Class C)
- MJ40 Propelling any substance toward any person that strikes them or has the potential to strike them. (Class A)
- MJ41 Gathering around, blocking, or impeding any correctional employee or visitor, in a threatening or intimidating manner and exhibiting conduct, which causes the person to fear for his safety. (Class A)
- MJ42 Unauthorized contact, including harassment, of any on-duty or offduty correctional employee; their family member(s) or private citizen. (Class A)
- MJ44 Testing positive for an unauthorized or illicit substance; failure to submit to a drug and/or alcohol screening; submission of an adulterated, diluted, or insufficient sample; or failure to provide a testable sample. (Class A)

NOTE: 1) Cannot be plea-bargained, sanction bargained, or sanction reduced. Failure to submit to a drug and/or alcohol screening. (Class A)

- MJ46 The possession or use of a recording device. (Class C)
- MJ47 Escape: The departure or absence from custody of a person who is imprisoned, before he is entitled to his liberty by the process of law. This violation shall be charged in cases of escape from assignment of medium custody or above, or escapes from any custody where weapons, force, violence, the taking of hostages or injury to others was involved. (Class A)
- MJ48 Any violation of the Rules of Court, contempt of court, submission of forged or otherwise false documents, submissions of false statements, violations of Rules of Civil Procedure, Criminal Procedure or Appellate Procedure and/or receiving sanctions and/or warnings for any such actions from any court. Although not necessary for disciplinary purposes, any Order from any court detailing such action shall be sufficient evidence for disciplinary purposes. (Class C)
- MJ49 Possession of any confidential prison regulation. Any prison regulation, which is not specifically delineated as accessible to offenders, is considered confidential. A prison regulation includes, but not limited to, Administrative Regulations, Institutional Procedures, Emergency

- Response Regulations, and Post Orders, and Confidential Medical Information. (Class A)
- MJ50 Sexual Harassment: Conduct that is sexually abusive or offensive to any person and that may include, but is not limited to, unwelcome sexual advances, requests for sexual favors, or verbal comments, suggestive language, gesture, or actions of a derogatory or offense sexual nature by one offender directed to another, or as an aside; unwanted or inappropriate touching; exposing one's self; performing a sex act with knowledge that it will be observed by another; displaying sexually provocative or explicit materials/drawings. (Class A)
- MJ51 Compromising Staff, Volunteer or Vendor: Conduct that includes, but is not limited to, bribery, extortion, sexual conduct, or any other behavior designed to violate the safety and security of an institution and/or obtain favorable treatment. (Class A)
- MJ52 Refusal to complete or participate in a mandatory, structured program. (Class C)
- MJ53 Possession, introduction, or sales of any narcotics, drugs, alcohol, or other intoxicants or possession of materials/items suitable for such manufacture and/or use. (Class A)
- MJ54 Possession and/or use of any narcotics, drugs, alcohol, or other intoxicants. (Class B)
- MJ55 Possession, introduction, or sales of any tobacco product; or possession of materials/items suitable for such manufacture and/or use.
 (Class B)
- MJ56 Tattooing: tattooing Tattooing and/or body piercing oneself or another; or possession of tattooing and/or body piercing equipment. (Class C)
- MJ57 Failure or refusing to cell as assigned. (Class C)
- MJ58 False Reporting: Charge should be applicable for offenders who file false reports against staff and/or other offenders (Class A). Reporting a felony, misdemeanor or violation of any Department regulation has been committed, to include but not limited to the knowledge that the information reported, conveyed or circulated is false, circulating a false report or warning of an alleged occurrence or impending occurrence knowing that the false report is likely to cause the agency to conduct unwarranted criminal or internal investigation. For the purpose of disciplinary action, a report of sexual abuse made in good faith based upon

a reasonable belief that the alleged conduct occurred shall not constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation.

- MJ59 Resisting: Physically struggling to free oneself from being restrained or escorted, or pulling away from staff. (Class C)
- MJ60 Barricading sShutting oneself into place by blocking avenues of ingress or visual inspection. (Class C)

6.7. Work Release Violations (All Class C):

- W1 Failure to comply with travel arrangements outside the facility.
- W2 Failure to report to the work assignment contacts in the community as specified and agreed upon in the release plan.
- W3 Failure to remain in the particular area designated in the release plan.
- W4 Operation of a motor vehicle, unless such operation is a condition of the job and prior Department authorization was approved.
- W7 Failure to return to the facility on or before the time specified in the schedule of the release plan. This includes leaving or hiding from supervision or custody.
- W8 Failure to report an incident that delays the offender's return to the facility.
- W9 Failure to complete or participate in a structured program.
- W10 Performing work for private persons that are not authorized by the Department.
- W11 Any violation or attempt to violate rules or conditions of the work program contract.
- W13 Possession of coin, currency, checks, money orders or other negotiable instruments in excess of the amount authorized by regulation.

8. Additional Penalties or Enhancement Charges

EC1 – Violation was committed against a person 60 years of age or older,
 or against a vulnerable person (Suffers from a condition of physical or

- mental incapacitation because of a developmental disability, organic brain damage or mental illness).
- EC2 Violation was committed involved the use of a weapon.
- EC3 Violation was committed to promote the activities of a Security
 Threat Group (Must have prior Security Threat Group Validation).
- EC4 Violation was committed based on the certain actual or perceived characteristics of the victim (Hate Crime).

707.03 OFFENDER TRANSFERS

- 1. When conduct requires an offender be transferred from one institution or facility to another, any pending disciplinary cases should be completed prior to the transfer. All offender transfers must be handled by approved regulatory procedures through the Office of Offender Management Division.
 - A. If circumstances are such that Should the transfer must need to proceed prior to completion of the disciplinary process, the sending institution shall prepare the Notice of Charges and the receiving institution shall complete the disciplinary process.
 - B. The receiving institution will review the offender's status within three (3) working days of receipt.
 - C. Copies of the completed disciplinary will be returned to the sending institution.
- 2. The An Associate Warden and or designee at the sending institution or facility is responsible for insuring that to ensure the pending case is properly transferred within seven (7) to 14 days, from the date of transfer.

APPLICABILITY

This regulation requires an operational procedure for the Department and all institutions and facilities.

The regulation requires an annual audit.

REFERENCES

ACA Standards 5th Edition: -5-ACI-3C-01 - 23, 5-ACI-3D-08 4-4226-31, 4-4238-48, 4-4236, 4-4248, 4-4281

Charles Daniels James Dzurenda, Director	Date